

UNITED STATES OF AMERICA

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CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD

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PUBLIC MEETING

+ + + + +

TUESDAY

MAY 14, 2002

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WASHINGTON, D.C.

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The Public Meeting convened in Suite 400, 2175 K Street, N.W., Washington, D.C. 20037, pursuant to notice, at 10:00 a.m., Gerald Poje, presiding.

CHEMICAL SAFETY BOARD MEMBERS PRESENT:

GERALD POJE
ANDREA K. TAYLOR
IRV ROSENTHAL

ALSO PRESENT:

CHRIS WARNER
WILLIAM HOYLE
STEPHEN SELK
KEVIN MITCHELL
LISA LONG
STEPHEN WALLACE

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P-R-O-C-E-E-D-I-N-G-S

10:00 a.m.

CHAIRPERSON POJE: Good morning, everybody. My name is Jerry Poje. I'm a Board Member of the Chemical Safety Board.

Unlike our past meetings, today I am chairing this meeting. The Board has been busy over the last month on a number of fronts, but one of the fronts has been in dealing with the interim basis of Board leadership during the period when we're absent a Chairperson.

Let me just review for you the history of events briefly and give you the update on where we are as of this meeting. In January of 2000, the original Chair of the Board resigned from that position. Based upon extensive discussions between our Office of General Counsel and the White House and the Office of Legal Counsel in the Department of Justice, the Board at that point in time issued a Board Order, 003, that allowed for the Board to take the role and responsibilities of the Chairperson and fractionate them and divide them amongst the remaining Board members.

In February of this year, a little more than two years afterwards, the Inspector General for

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1 the Chemical Safety Board issued a recommendation to
2 the Board that we reconsider such matters and seek
3 additional input on that. As of February, we had
4 transmitted a letter to the Department of Justice,
5 Office of Legal Counsel, expressing our willfulness to
6 reallocate such responsibilities, such that a single
7 Board Member would be given greater responsibilities
8 for executive and administrative functionality.

9 In March we issued that request. In April
10 we received an affirmative response from that Office.

11 So in coordination with the Office of Inspector
12 General recommendation, a legal opinion from the
13 Department of Justice, and extensive notification to
14 our congressional authorizing and appropriating
15 committee members, we have taken the action last week
16 that allowed for a redefinition of Board Order 003.

17 The delegation was done through a voting
18 notation item. Specific delegations were assigned for
19 this individual Board Member with executive and
20 administrative functionality, to have oversight for
21 personnel, administration, funds up to \$50,000
22 expenditures, oversight for investigations, conduct of
23 Board meetings, and communications on behalf of the
24 institution.

25 Specific restrictions were established

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1 assuring that all such actions would be in compliance
2 with federal rules and regulations, and that the
3 oversight for Equal Employment Opportunity
4 functionality for the Board would still reside with a
5 second Board member.

6 In addition to that, there were explicit
7 exclusions that we established that retained to the
8 Board as a whole, namely, the three existing Board
9 Members, their specific functions. We individually
10 and independently need to approve and oversee all
11 investigation reports, all safety studies. All Board
12 regulations will be established by a Board as a whole.

13 Budget proposal and budget executions will be
14 overseen by the Board as a whole. Large contracts and
15 expenditures greater than \$50,000 will still be a
16 Board as a whole function. The establishment of heads
17 of major operational units for the institution will
18 still be held by the Board as a whole, and strategic
19 plans will still be a Board as a whole function.

20 This redelegation, this authority also
21 gave us the responsibility for such an individual to
22 have the rights of redelegation to the Chief Operating
23 Officer. The duration of this assignment is based
24 upon three different contingencies. Should a new
25 Chairperson be nominated, confirmed, and sworn in,

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1 then the function of an Executive Administrative Board
2 Member will cease as of that point in time.

3 We will also commit ourselves, if that
4 hasn't occurred within a six-month period, to formally
5 review and reapprove such an assignment, or this can
6 be terminated at any time by a quorum of the Board
7 voting to exercise such.

8 The delegation has been assigned to
9 myself. So, as of this moment, for this public
10 meeting, I am the Board Member with executive and
11 administrative functionality.

12 On May 9th, following up on this
13 assignment, I also executed full delegations to our
14 Chief Operating Officer for a whole bunch of day-to-
15 day operational responsibilities. So in doing such,
16 we have completed action on two major recommendations
17 from our Inspector General, and I will conduct the
18 rest of this meeting as the presiding officer for the
19 Board at this public meeting.

20 Several other activities are ongoing.
21 Since we last met in public session on April 17th, the
22 Board has initiated two new investigations. So the
23 staff has been, as I said in public, working to the
24 max. We have fully deployed two separate teams for
25 ongoing investigations in the last month and are happy

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1 to see ourselves so deployed, but we also are reaching
2 the maximum of the possible expenditure of staff,
3 time, energy, and resources. So I'm proud of the
4 staff and we are proud of the institution, and we are
5 on with our work.

6 With that, I would open up to any other
7 comments from my fellow Board Members. Dr. Rosenthal?

8 DR. ROSENTHAL: Well, nice to see many old
9 friends here at the meeting. I must say that I
10 believe that the changes we have taken, after
11 receiving authorization, or at least no objections
12 from the legal offices, is most welcome. Running any
13 organization by committee is not a desirable thing.
14 So I look forward to having Dr. Poje exercise some of
15 the day-to-day responsibilities of the Board. I think
16 it should lead to more effective, more efficient
17 action, and will certainly make my life more peaceful.

18 (Laughter.)

19 MS. TAYLOR: I want to ditto what Irv just
20 said. I think this has been a great move for the
21 agency. Fortunately, we did receive the report from
22 IG, but it feels good to do this and now have one
23 person responsible for the administrative function of
24 the agency. It is hard to have three heads. So now
25 having one, then that makes it much easier for us.

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1 CHAIRPERSON POJE: Thank you both.

2 Chris, do you want to say anything in
3 opening remarks as Chief Operating Officer?

4 MR. WARNER: I would like to echo Dr.
5 Poje's comments. We have an awful lot on our plate.
6 As he said, we have two new investigations that are
7 ongoing, one in New York, one in Texas. We also have
8 a variety of investigations where the field
9 investigations have been completed and we are doing
10 additional research. That is Georgia Pacific. We
11 have Motiva Enterprises in Delaware. We have the huge
12 reactivities hearing coming up in Paterson, New Jersey,
13 and our reactivities report, and of course the closeup of
14 BP Amoco. So with our small staff, we are fairly busy
15 with a large variety of things, as well as meeting all
16 of our administrative responsibilities.

17 I do look forward, even in this short-term
18 of carrying out the delegations from the Board. I
19 think we are well on our way to meeting all of the
20 recommendations from the IG, and certainly sets us up
21 for a very even, smooth transition as we look forward
22 to getting a new Chairperson for the Board, a new
23 Board Member, as well as a new Chief Operating
24 Officer.

25 So with that, we will move on to BP Amoco.

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1 CHAIRPERSON POJE: Okay, the major bulk of
2 our meeting today is focused on the item on the
3 screen, if we could have Bill Hoyle, our Director of
4 Investigations and Safety Programs, open up this
5 portion of the meeting. Bill?

6 MR. HOYLE: Well, it is my pleasure to
7 introduce our four-member team that has worked on this
8 report that you will have presented to you today. The
9 lead investigator is Steve Selk, and he is joined by
10 three of our investigations staff: Lisa Long, Kevin
11 Mitchell, and Steve Wallace. I understand each of
12 them will be taking a portion of the presentation
13 today.

14 I also would ask you to appreciate Steve
15 Selk and Kevin Mitchell's. They have just returned
16 from an investigation in New York City. They are
17 doing double-duty, to say the least, of pursuing that
18 investigation and then bringing to conclusion this BP
19 Amoco investigation. So we very much appreciate their
20 efforts.

21 So I am going to turn it over to Steve
22 Selk, the lead investigation. He will start off the
23 presentation, and he will recognize his other partners
24 at the appropriate time.

25 MR. SELK: Good morning. Let me just make

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1 a few adjustments so I can get my paperwork here.

2 Members of the Board, you have asked that
3 we assembly today to present our findings and
4 conclusions on the incident that occurred at the BP
5 Amoco's Polymer Plant in Augusta, Georgia 14 months
6 ago. We are ready to do so, and we expect that the
7 investigation will be further advanced through your
8 reflection and subsequent counsel.

9 In addition to myself, the field
10 investigation team consisted of Stephen Wallace, Kevin
11 Mitchell, and Lisa Long. They are all chemical
12 engineers, and you will hear from each of them today.

13 All have more than 10 years of industrial or
14 consulting engineering experience. Additionally, our
15 analysis benefitted from the advice of the Director of
16 the Investigations and Safety Programs, Bill Hoyle,
17 and the head of our Recommendations Program, Don
18 Holmstrom.

19 The investigation was also advanced
20 through the full cooperation and goodwill of British
21 Petroleum. I compliment them for the excellent
22 leadership they have shown in this regard. Yet, today
23 we will criticize them because their management of
24 technology and the human endeavors associated with it
25 was insufficient to prevent the incident we will tell

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1 you about.

2 It is fitting that we be critical for
3 exactly 14 months ago three employees of the company
4 were fatally injured by a sudden catastrophic event.
5 They were Heinrich Kohl, age 24, John Rowland, age 35,
6 and George Sanders, age 42.

7 Shortly, we will discuss root and
8 contributing causes. We will state our conclusions
9 using phrases that will tend to indicate that the
10 accident happened because the company didn't do
11 something it could have. In so doing, it is not our
12 objective to hold the company in a bad light. We
13 conclude that no one in the Augusta facility had any
14 idea that an incident of this nature could have
15 occurred. Indeed, we observed that there were many
16 things that they did very well, but we won't speak to
17 them. Instead, we will focus on things they could
18 have done better. Our sincere objective when pointing
19 to these root and contributing causes is to identify
20 how technological operations that are potentially
21 hazardous can be more effectively managed.

22 Bear with us now because we can't begin to
23 discuss the root and contributing causes until we
24 explain the technology involved. I will review the
25 basics of the manufacturing of the process. Then

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1 Kevin Mitchell will describe the incident. After
2 that, Lisa Long and Steve Wallace will discuss
3 pertinent management systems that contributed to the
4 incident. Finally, I will emphasize what we believe
5 the root and contributing causes were.

6 The manufacturing process involved
7 produces a nylon plastic called Amodel. This is a
8 picture of the plant involved, a petrochemical-type,
9 outdoor operation. Amodel is a form of nylon created
10 through the reaction of a di-amine and di-carboxylic
11 acid. Each time a molecule of raw material is added
12 to the molecular chain, a molecule of water is
13 released as a byproduct.

14 Nylons, of course, date back to the era of
15 the Second World War, one of the first applications
16 being toothbrush bristles. Most of us are aware of
17 other applications. But Amodel is an advanced form of
18 nylon. While it is moldable, it is very hard, very
19 strong. It melts at a temperature of 600 degrees
20 Fahrenheit.

21 Amodel was Amoco's only entry into the
22 nylon business. They only built one plant.
23 Development started in 1979 in Naperville, Illinois,
24 the first R&D efforts. Pilot plant production began
25 there in 1981. Then an experimental unit was built in

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1 Greenville, South Carolina, followed by a semi-works
2 unit to produce sample commercial quantities in
3 Augusta, Georgia in 1992, and then, finally, the
4 commercial unit in 1993.

5 Our story is complicated a little by
6 changes in ownership. In 1998 British Petroleum
7 acquired Amoco. They held the facility for less than
8 two years. In November of 2001, BP Amoco and Solvay
9 exchanged certain assets, and the Augusta site is now
10 owed by Solvay Advanced Polymers.

11 The ingredients used to make Amodel are
12 solids at ambient conditions. In the first step of
13 the process, the ingredients are dissolved in water.
14 This creates an aqueous solution of what is
15 effectively a salt. The preparation is done batch-
16 wise. However, the rest of the process is conducted
17 continuously. That concept, that the process operates
18 continuously, is important to understanding the
19 incident that occurred.

20 The liquid salt solution is pumped to a
21 pre-reactor, where it is heated. The addition of heat
22 initiates the polymerization reaction, and some of the
23 water produced is released as a vapor. The partly-
24 reacted liquid is then pumped to a very high pressure
25 and passed through a series of heaters. This advances

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1 the reaction further. Then the pressure is allowed to
2 suddenly drop. In so doing, much of the water present
3 vaporizes to steam. The result is a dispersion of
4 prepolymer droplets that is conveyed by a rapidly-
5 flowing volume of steam.

6 This enters a second reactor, where
7 further heat is added. Because the polymer is now in
8 the form of droplets, it is easier for by the
9 byproduct water that is being formed in the reaction
10 to diffuse from the droplets and vaporize to steam.

11 The polymer passes through the reactor in
12 a matter of seconds. Upon leaving the reactor, the
13 polymer is fed to an extruder, where the reaction is
14 completed. An extruder is a device much like a large
15 meat grinder. It mixes, kneads, and shears the
16 polymer aggressively while simultaneously adding more
17 heat. That shearing action drives the reaction to
18 completion.

19 From the extruder, the finished product is
20 pumped through a die that has holes in it. Strands of
21 plastic are created that are cooled and chopped. The
22 result is these granules of pellets of finished nylon
23 plastic. I would like to pass this sample of the
24 material around to you to look at it.

25 Bear with me; we've got a little more

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1 technology to cover before we get on to what happened.

2 That is the basic technology of how Amodel is made.
3 However, there are many complexities involved. In
4 particular, matters that are of interest to us in
5 understanding the incident have to do with how the
6 process is started up and shut down.

7 To start the process, water is first
8 circulated through the equipment. The temperature is
9 raised, and in the second reactor the water turns to
10 steam. The steam can't be directed to the extruder.
11 So, instead, it is directed to another vessel called
12 the polymer catch tank. It was in this vessel that
13 the incident occurred.

14 During startup, the effluent from the
15 reactor is diverted by this three-way valve so that it
16 enters the catch tank. The steam leaves the tank and
17 passes to a recovery system. Once water is
18 circulating through the process and the temperatures
19 are high enough, the water is replaced by the salt
20 solution. The solution makes its way through the
21 equipment, polymerizing as it goes.

22 At first, it is not of sufficient quality
23 to send to the extruder. So the effluent from the
24 reactor remains directed to the catch tank. I
25 mentioned earlier that the reactor effluent is

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1 composed of drops of polymer dispersed in a flow of
2 steam. It is this mixture that enters the catch tank,
3 steam and droplets of polymer.

4 Schematically, the catch tank looks like
5 this: piping inlet on the one end and a vapor outlet
6 for the steam here. Polymer accumulates inside.
7 These two connections, one is for nitrogen, one is for
8 steam. There's a drain on each end of the vessel.

9 Because the fluid that is entering is a
10 mixture of steam and droplets of polymer, this vessel
11 is actually a separator. The polymer accumulates
12 inside, and steam leaves through the top. There's no
13 active cooling. Heat losses are through the walls of
14 the vessel only. Eventually, those heat losses cause
15 the molten polymer inside to solidify.

16 During startup of the reactor, the
17 effluent is diverted to the catch tank for 50 minutes
18 before flow is swapped to the extruder. You will hear
19 later from Steve Wallace that it wasn't always 50
20 minutes. They had changed the process, but all the
21 ramifications of that change were not carefully
22 considered.

23 That is the actual vessel. The cover has
24 been removed from one end. The cover weighs about one
25 ton.

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1 Beyond startup, the catch tank also plays
2 a role during shutdown, but it is a more complex role.

3 When the process is shut down, the flow of salt
4 solution is stopped, and it is immediately replaced by
5 a solvent. The purpose of the solvent is to dissolve
6 any remaining polymer in the reactor, heaters, and
7 piping. Otherwise, the polymer would solidify inside
8 the equipment.

9 The solvent and dissolved polymer flows to
10 the polymer catch tank. There the solvent vaporizes
11 and leaves the vessel just like the steam does during
12 startup. The polymer is left behind. Eventually, the
13 shutdown is terminated by a flush of water.

14 Once the process is completely shut down,
15 maintenance personnel remove the cover from the vessel
16 and remove the plastic. Typically, that is the type
17 of mass that is extracted from the vessel. That is a
18 rack inside the vessel with a hook on it, and they
19 would connect a wire and an eyelet to that hook and
20 pull this mass from the vessel using a forklift truck.

21 Now there's only one other reason that
22 they would use the catch tank to receive the reactor
23 flow, and that is if there are problems with the
24 extruder. Recall that this is a continuous process.
25 If the extruder inadvertently shuts down, the process

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1 flow must be directed to some other location, and that
2 is the catch tank. If the problem with the extruder
3 is not quickly resolved, the flow of raw materials
4 must be stopped and the flushing solvent injected.

5 We are coming to the end of the technology
6 section, so bear with me just a few moments longer.
7 The catch tank has a sister vessel called the reactor
8 knockout pot. Its primary purpose is to serve as a
9 destination, should this pressure or safety release
10 device here in the outlet of the reactor burst. This
11 is what we call a ruptured disk or bursting disk. So
12 if some obstruction should form anywhere in here, this
13 disk will open and allow the reactor flow to go to the
14 knockout pot. Additionally, the knockout pot serves
15 as another place that the reactor effluent can be
16 diverted to, should the catch tank become too full.

17 Kevin Mitchell will shortly describe the
18 incident, but to help you follow it better, let me
19 tell you now that what happened in this incident was
20 that they had problems during the startup of the
21 plant. They put a very large quantity of hot plastic
22 into the polymer catch tank in one continuous shot.
23 Because so much hot material entered all at once, the
24 thermal energy sustained further reactions of the
25 plastic, both side reactions and decomposition and

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1 degradation reactions. Kevin will explain how those
2 reactions, which were unanticipated in the design of
3 the plant, and other complicating factors resulted in
4 the incident.

5 Kevin?

6 MR. MITCHELL: Thank you, Steve. Members
7 of the Board, good morning.

8 The Amodel unit was operating normally
9 during the week prior to the incident. On Saturday,
10 March 10th, a problem, a malfunction in the extruder
11 caused the Amodel unit to shut down. A repair was
12 made, and the unit was scheduled to restart on Monday,
13 March the 12th, 2001.

14 During the period of the shutdown, the
15 polymer catch tank, the subject vessel, was opened,
16 emptied. As Steve showed you, the polymer was removed
17 from vessel, and the picture he showed was, in fact,
18 the polymer that was removed during that shutdown.

19 At 6:45 a.m. on March 12th, operators
20 prepared to start up the Amodel unit by commencing
21 their pre-startup checks. As part of the normal
22 startup checklist, the extruder was supposed to be
23 pre-run for approximately one to two minutes to verify
24 its operability.

25 The lead operator on duty at the time of

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1 the incident was told that the extruder had been run
2 the previous evening during the purge procedure to
3 clean the screws. The lead operator thought it was
4 not necessary to again rerun the extruder before
5 startup, and his supervisor agreed. Therefore, the
6 extruder was not pre-run on this particular startup.

7 After resolving several last-minute
8 maintenance items, raw material feed was introduced
9 into the Amodel unit at 1:29 p.m. on March 12th. Unit
10 temperatures and pressures were within normal
11 operating ranges at that time.

12 As was typical on startup, and as Steve
13 mentioned, the initial flow of material coming from
14 the reactor was sent to the polymer catch tank for
15 approximately 50 minutes, after which time it would
16 have been swapped to the extruder using this valve.

17 To finalize startup, personnel attempted
18 to start the extruder at 2:17 in the afternoon. At
19 this time it was determined that the extruder screws
20 would not turn. The unit supervisors were immediately
21 notified, and maintenance was called in to work on the
22 problem.

23 Over the next 25 minutes, several attempts
24 were made to diagnose and resolve the problem with the
25 extruder. During that period of time, polymer

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1 continued to accumulate in the polymer catch tank, as
2 the reactor and upstream equipment was operating.

3 At 2:30 p.m., with the extruder
4 malfunction still unresolved, a decision was made to
5 abort the startup and prepare for a unit shutdown by
6 immediately going to a solvent flush. The flush
7 solvent was injected at 2:41 p.m. It took several
8 minutes for the polymer to be displaced by the
9 solvent, and during that period of time material
10 continued to accumulate in the polymer catch tank.

11 The flushing operation continued normally
12 for approximately one hour. At 3:45 in the afternoon,
13 an engineer noticed a small leak of vapor coming from
14 the cover of the polymer catch tank, about right here.

15 Plant personnel described the vapor as being
16 characteristic of the solvent. Unit supervisors were
17 made aware of the leak, and a decision was made to
18 divert the flow of solvent from the polymer catch tank
19 to the sister vessel Steve mentioned, the reactor
20 knockout pot.

21 Shortly after flow was diverted, a leak
22 developed at the cover of the reactor knockout pot as
23 well. No immediate action, however, was taken in
24 response to this leak. From this point forward until
25 the time of the incident, no additional material

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1 accumulated in the subject vessel, the polymer catch
2 tank.

3 At 6:53 p.m., several hours later, the
4 solvent flush was discontinued and the unit was put on
5 a water flush. Operators continued to monitor unit
6 temperatures and pressures and other operating
7 conditions for several hours, and at 11:21 p.m. water
8 flush was discontinued and the unit was shut down.

9 Instructions were left on the night shift
10 to clean the polymer catch tank vessel of the
11 accumulated material. Maintenance technician arrived
12 at the scene at approximately 2:15 a.m. on March 13 to
13 conduct the work. Prior to conducting the work,
14 operators closed a valve connecting the nitrogen line
15 to the reactor knockout pot and the polymer catch tank
16 and placed energy isolation tags on those valves. A
17 lockout tag-out energy isolation form was completed
18 and signed by both the operator and the maintenance
19 technician, and the cleanout work at this point was
20 ready to commence. It should be noted that no other
21 connections to the polymer catch tank were locked or
22 tagged at this time.

23 Two operators went to the vessel to assist
24 the maintenance technician in opening the cover. At
25 2:25 a.m. on March 13th, the maintenance technician

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1 began to remove the 44 1-and-1/8th-inch bolts that
2 connected the cover of the polymer catch tank to the
3 vessel with the assistance of two operators.

4 He had removed approximately half of the
5 bolts at the time of the incident. At 2:36 a.m., as
6 the restraining force of the cover was being gradually
7 reduced, as the bolts were taken off, a sudden and
8 explosive release of energy broke the remaining bolts.

9 The cover was ripped off the vessel and propelled
10 upward. It struck a girder on the overhead rain
11 canopy, shown here, and it came to rest approximately
12 15 feet from its original position on the vessel.
13 Here's a picture of the cover as found.

14 A mass of hot molten polymer was ejected
15 from the 48-inch diameter opening of the polymer catch
16 tank. The molten polymer traveled as far as 70 feet,
17 striking workers and equipment as it traveled. Here's
18 a picture of the molten polymer in the area of the
19 unit.

20 The maintenance technician and two
21 operators suffered severe impact trauma. Two men died
22 at the scene, and a third was pronounced dead on
23 arrival at the Medical College of Georgia.

24 The force of the initial explosion ignited
25 -- or pardon me, caused damage to nearby hot oil

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1 piping in the area. Hot oil was released and a
2 flammable vapor cloud formed that ignited at 2:42 a.m.
3 and burned in the area behind the polymer catch tank
4 for several hours. After emergency responders had
5 isolated the hot oil system, the fire was extinguished
6 at approximately 8:15 a.m.

7 I have a sample of the polymer that you
8 see in this particular photograph that I would like to
9 pass out so that you can see its characteristic color,
10 texture, and shape.

11 That concludes a brief description of the
12 incident itself, and I would like to take a little bit
13 of time this morning to talk about some aspects of the
14 incident reconstruction which will help you understand
15 why this incident occurred.

16 First of all, with regard to the extruder
17 that failed to start, inspection of the extruder after
18 the incident revealed a significant quantity of ash
19 had accumulated inside the extruder in the barrels.
20 The ash was most likely the result of an internal fire
21 that had occurred in the extruder prior to the
22 incident -- prior to the startup of the unit, rather.

23 Because the extruder was not designed to convey
24 powders, the accumulated ash probably caused the
25 screws to bind up when repeated attempts were made to

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1 start the extruder on the afternoon of March 12th.

2 Statements from several operators have
3 indicated this was the first time at which the
4 extruder had failed to start concurrently with the
5 startup of the production unit. However, the machine
6 or its components were known to occasionally fail
7 during normal production, and it was extruder
8 component failure that had caused the shutdown on
9 March the 10th, and a nearly identical incident
10 occurred the prior week during normal production.

11 BP Amoco personnel were aware of the
12 possibility for extruder malfunctions during
13 production, regardless of the cause. When the
14 extruder experienced certain mechanical difficulties,
15 it was necessary to divert flow, as Steve mentioned,
16 into the polymer catch tank. On at least two prior
17 occasions, this resulted in overflowing of the polymer
18 catch tank or the sister vessel, the reactor knockout
19 pot, and plugging of their overhead vent lines.

20 Now the polymer catch tank itself, most of
21 the contents had been expelled during the incident.
22 However, a solid layer of polymer, ranging from 3 to 5
23 inches thick, remained and coated the entire inside
24 surface of the vessel, including the shell and both
25 ends or covers.

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1 The vessel nozzles, including the vent
2 nozzle, were completely plugged with polymer. This is
3 a photograph of what should be the 6-inch vent nozzle
4 here, shown from the inside of the vessel. You see
5 the 3-to-5-inch thick layer of polymer, and this is a
6 plug of polymer, very hard polymer, completely
7 obstructing the vent nozzle from the vessel.

8 Significant amounts of polymer were found
9 within the vent system itself, which led to questions
10 from the investigators as to how much material
11 actually went into the polymer catch tank during the
12 aborted startup. During a typical startup, the amount
13 of polymer sent to the catch tank would fill it to
14 less than half full. As a result of the aborted
15 startup on March 12th, more than twice the normal
16 amount of polymer had been directed to the tank, and
17 this had not occurred uninterruptedly in a single
18 shot, as Steve put it, on any prior occasion during
19 the history of the commercial Amodel unit. Therefore,
20 the amount of heat and energy inside the vessel was
21 likely larger than it had ever been in the past.

22 The public literature on nylon plastics
23 describes them as being possibly susceptible to
24 thermal degradation and side reactions, and both
25 mechanisms can produce gases which can include carbon

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1 dioxide, ammonia, and water vapor. Among other
2 evidence, this led investigators to theorize that the
3 source of energy and pressure inside the vessel was
4 caused by an unintended chemical reaction.

5 Investigators arranged for testing of
6 typical extruded Amodel. One sample was tested by
7 thermogravimetric techniques when subject to
8 conditions which we expect would have been similar to
9 that within the polymer catch tank. The test revealed
10 that Amodel does undergo a significant weight loss at
11 the test conditions, and investigators concluded that
12 this weight loss was partly due to decomposition
13 reactions and partly due to side reactions.

14 Further more sophisticated analysis
15 confirmed this, finding such gases as carbon monoxide,
16 carbon dioxide, and water vapor, all of which are
17 consistent with these reactions. These substances are
18 all gases at moderate temperatures and would develop
19 pressure inside a closed vessel under those
20 conditions.

21 As I mentioned before, no additional
22 material entered the polymer catch tank after
23 approximately 3:45 p.m. on March 12th. Over the next
24 several hours, the core of the vessel continued to
25 react. This caused the viscous, as gases were

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1 involved from these reactions, it caused the viscous
2 molten contents of the polymer catch tank to swell and
3 expand, and likely it occupied the entire volume of
4 the vessel.

5 Further swelling and expansion likely
6 pushed material from the vessel into the vent system
7 and the emergency pressure relief inlet lines. Once
8 this material reached the relatively cool surfaces of
9 the pipe in the vessel, heat loss occurred, and the
10 polymer solidified. Here's a picture of the amount of
11 polymer we found in the 6-inch vent line itself.

12 Once this occurred, once the
13 solidification of the polymer occurred in the vent
14 lines, the vent nozzles, the evolving vapor from the
15 chemical reactions had no pathway to escape, and over
16 a period of several hours the polymer catch tank
17 became pressurized. That was the source of the
18 pressure in the vessel.

19 Now I will turn it over to Lisa Long and
20 Steve Wallace, who are going to explain some of the
21 management system causes as to why that condition
22 developed.

23 MS. LONG: Hello. Kevin talked a little
24 bit about how this incident happened. I'm going to
25 talk a little bit about why this happened. Steve and

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1 I are going to tag team a little bit, so we will
2 switch back and forth once.

3 But in this part of the analysis,
4 typically, we discuss management systems and
5 deficiencies in management systems that could have
6 caused or contributed to an incident such as this. So
7 we will be focusing on management systems in the
8 Amodel process that could have contributed or caused
9 the incident.

10 Kevin spoke about the reactive chemistry
11 hazard in the Amodel process, and it is common
12 industry practice to manage reactive chemical hazards
13 with an appropriate management system.

14 The Amoco development team did not conduct
15 research into the hazards of normal or unanticipated
16 reactions. They were unaware that a reactive hazard
17 existed that could result in an incident such as this.

18 There is industry guidance which contains
19 information on how to develop a reactive chemical
20 management system. This includes publications by CCPS
21 and HSC, and although these publications were not
22 published until the 1990s, they were based on
23 practices that were developed and in practice in the
24 1980s, when Amodel was first developed.

25 As Kevin mentioned, reference materials

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1 that contain information about nylon chemistry refer
2 to and describe potential decomposition and cross-
3 linking reactions that can occur within the nylon
4 family. Had developers looked at this information,
5 this could have been used to do some initial screening
6 and perhaps led to further testing that would have
7 uncovered the reactive hazard that existed in the
8 Amodel process.

9 Amoco did do some product degradation
10 testing in 1990 and then again in 1994. This was done
11 for applications and product development. They did
12 thermogravimetric analysis, and the testing showed
13 that Amodel, when held at temperature, did decompose
14 and this could affect product quality. However, the
15 significance of this testing was never realized with
16 respect to process safety.

17 The reaction that took place on the day of
18 the incident was a slow endothermic reaction.
19 Typically, when people think of reactive chemistry,
20 they think of exothermic runaway reactions. While
21 this common and does cause incidents, maybe more so
22 than the endothermic, the endothermic hazard is a
23 known hazard, and it is described in a limited way in
24 some of the industry guidance.

25 Another important management system is

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1 process safety information. Process safety
2 information is covered in various industry guidance,
3 and it is a way of describing process information such
4 that operators and engineers understand how to operate
5 the process, how to manage changes, and why certain
6 decisions should or shouldn't be made.

7 While Amodel did have process safety
8 information, the principles of operation for the
9 polymer catch tank were not documented in the process
10 safety information. In particular, the design
11 information did not explain that the catch tank was
12 acting as a separator and, as such, there were
13 important operating parameters such as maximum
14 operating level that should have been identified in
15 order for this vessel to operate efficiently as a
16 separator. This was not covered in the process safety
17 information.

18 The documentation explained the vessel's
19 role during the flush process, but not particularly
20 during startup, shutdown, or process upsets. It was
21 used during all of those phases in operation. A
22 certain amount would have been collected during
23 startup, a certain amount during shutdown, and then a
24 certain amount of space should have been reserved for
25 process upsets. The process safety information should

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1 have described the use of these vessels during the
2 various phases and also how much space should have
3 been left in the vessel to be used in the event of a
4 process shutdown, so that this vessel could still
5 operate properly.

6 Again, industry guidance such as material
7 published by CCPS explains the need for documenting
8 this process knowledge and also explains what should
9 be contained in it, and certainly would include some
10 of these items.

11 I am going to pass this over to Steve for
12 a minute.

13 MR. WALLACE: Thank you very much, Lisa.

14 As Lisa mentioned, she and I are tag
15 teaming. We are going to talk about some of the
16 management systems. I want to tell you a little bit
17 about the system that existed for managing changes in
18 the unit, as well as some design issues that we found
19 in our analysis, and the procedures that were in place
20 to ensure that the vessel was isolated prior to
21 opening, as well as the system that existed for
22 reviewing hazards that may be present.

23 I want to start with the system for
24 managing changes in the unit. The Augusta site used a
25 process change request procedure, or what they called

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1 a PCR. Basically, it documented and managed changes
2 to the unit, the safety basis and the technical basis
3 of any changes to the unit. In the Amodel process it
4 was applied to hardware changes, but we found that it
5 was not necessarily applied to modifications to
6 practices and procedures.

7 The example that Steve touched on, and I
8 want to go into a little more detail, is that in the
9 mid to late nineties the time that the startup
10 material was sent to the catch tank was increased from
11 30 to 50 minutes. Since the startup material is
12 mostly water for the first 20 minutes of the startup,
13 and that is vaporized and goes off, the rest of the
14 material that goes in for the rest of the time is
15 actually polymer. This increased the volume of the
16 polymer accumulated during the startup threefold.

17 In other words, when it was started up for
18 30 minutes, 20 minutes of that was water; the other 10
19 minutes was accumulated polymer. When it was changed
20 to 50 minutes, still 20 minutes of that was water, but
21 now a full half hour was polymer accumulation. So
22 this increased the amount of polymer threefold. As we
23 have discussed throughout this presentation, the
24 amount of polymer that went into the vessel that day
25 was much greater, so the margin of safety or the

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1 amount that could be sent to the vessel was less than
2 it had been in previous years.

3 This change decreased the capability of
4 the catch tank to hold additional material in the
5 event of process problems downstream. As Kevin
6 pointed out in his portion of the presentation, the
7 fact that the extruder did not work required them to
8 send additional material here as well. So this was a
9 factor as well in the incident.

10 Now I want to talk about process hazard
11 analyses and the method for reviewing, periodically
12 reviewing, the hazards that could be present in the
13 process. To the company's credit, process hazard
14 analyses were conducted on this process both in 1990,
15 during the design phase of the process, and also in
16 1999, using the HAZOP technique.

17 Just as a review, the HAZOP technique is a
18 system where you review your hazards, you basically
19 break the unit up into chunks -- vessels, pipes,
20 reactors, heat exchangers -- and you evaluate how each
21 one of those pieces can deviate from its original
22 design intent. Then you evaluate what your
23 consequences are, what could cause it. You evaluate
24 what type of safeguards you have in place, and then,
25 based on the safeguards you have and the consequences,

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1 you try to develop recommendations, if they are
2 necessary, to prevent the consequence or to decrease
3 the likelihood.

4 We did a thorough review of the hazard
5 analysis and found that, while they contained much
6 important information, much of the analyses were not
7 comprehensive, and we will go into some detail about
8 that at this point.

9 Credible scenarios that could lead to
10 excess level were not identified, and recommendations
11 to prevent them were not developed. During the first
12 PHA, that is, the one in 1990, during the design, the
13 Amoco team noted that insufficient design information
14 was available to conduct a full analysis of the
15 extruder, and they recommended that consideration of
16 those issues be performed in a follow-up HAZOP.
17 However, that follow-up HAZOP was never conducted. I
18 want to note again that it was failure of the extruder
19 to start that resulted in additional material flowing
20 into the catch tank.

21 In 1993, the catch tank was overfilled,
22 when the extruder malfunctioned, a different scenario.

23 It was actually in process. It was not during a
24 startup, but the extruder did malfunction. However,
25 the HAZOP conducted in 1999, which was supposed to

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1 take into account previous incidents, did not identify
2 means by which an excess level could occur in the
3 vessel, along with level credible scenarios that could
4 lead to excess pressure were not identified and
5 recommendations made.

6 During the 1990 PHA the Amoco team noted
7 that high pressure may not be relieved if the relief
8 line was plugged with polymer, and they made a
9 recommendation to ensure that the line was clear
10 during operation, but our investigation team found no
11 evidence that such a system was provided. In a
12 polymer service, fouling and plugging of equipment is
13 a very credible scenario that must be considered.

14 During the 1990 PHA the team identified
15 that the relief system was an adequate safeguard
16 against high pressure and did not recognize the
17 credible scenario that both the normal vent and relief
18 lines could both become plugged. This is a common
19 cause failure that should be considered when you are
20 evaluating the systems of polymer where there is
21 plugging and fouling, but that did not occur. This is
22 actually what occurred on March the 13th.

23 Some other issues that we found in our
24 analysis of the PHA process: A local pressure gauge
25 was installed to alert personnel of the potential for

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1 pressure in the vessel, but neither PHA team, that is,
2 in 1990 or 1999, considered that the gauge could
3 become plugged and could also become useless.

4 The HAZOP method which was utilized
5 contained no protocol for examining startup and
6 shutdown issues during operations involving the
7 extruder, and that would include problems associated
8 with an aborted startup, which was the situation that
9 occurred on March 13th, 2001.

10 The HAZOPs did not document any discussion
11 of reactivity issues associated with the catch tank.
12 Lisa went into some detail about a comprehensive
13 reactive management program. Considering that during
14 your process hazard analysis is not something we would
15 contend substitutes for a comprehensive reactive
16 program. However, it is a part of the program.

17 When you go through and periodically re-
18 evaluate your process, that is a good opportunity to
19 look at the hazards of reactive chemicals. There was
20 no specific guide word to guide the team to reactive
21 chemicals. There were some guide words which included
22 reactivity. However, there was no documentation that
23 those discussions took place.

24 I want to talk a little bit about design
25 deficiencies, and I want to preface this by pointing

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1 out that, in the spirit of comprehensiveness, we are
2 going to talk about a number of design deficiencies.
3 Some of these were more causally related to what
4 occurred on March the 13th, 2001 than others. I will
5 try to point those out as well go along, but I do want
6 to say, not all these were directly related, but we
7 did want to comprehensively present this to you today.

8 A number of design deficiencies became
9 apparent as operating experience and problems
10 occurred. The level instrument on the catch tank was
11 unreliable, and it was prone to false indications. It
12 often broke when material was removed from the vessel,
13 and frequently was not replaced. There was no
14 reliable alternate method identified to indicate the
15 level in the catch tank. I think I have a picture on
16 this which helps to illustrate a little better what I
17 am talking about.

18 As we have mentioned before, the material
19 had to be extracted, had to be removed, the bolt. I'm
20 sorry, I'm having to wade through this. When the
21 material was extracted from the vessel, a probe was
22 inserted into the vessel to measure the level. That
23 probe would break off when the metal rack, along with
24 the accumulated polymer, was withdrawn from the
25 vessel. We are going to talk a little more about that

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1 particular operation in a minute. But when the probe
2 was broken, it was not always replaced.

3 There were incidents in which the catch
4 tank or reactor knockout pot were completely filled,
5 which could render both the vent and relief lines
6 inoperable. We have talked about the common cause
7 failure there, where you defeat both your venting
8 capabilities and also your relief capabilities.

9 The relief line on the catch tank and
10 knockout pot were not shielded from process fluid with
11 a rupture disk. As Steve pointed out, there was a
12 rupture disk on the line from the reactor. There was
13 no such rupture disk, which is basically a plate that
14 rests under the relief device which is meant to
15 protect it. Certainly in services where plugging and
16 fouling are issues, good practice notes that it is
17 desirable to have a rupture disk to protect your
18 relief device.

19 Let me talk about the isolation capability
20 for the vessels, which we found had some issues as
21 well. There was a double-block and bleed line on both
22 the inlet and vent lines of the vessel. Those were
23 fouled by solidified plastic and would not close. As
24 a point of review, double-block and bleed, basically,
25 a way to isolate one piece of equipment from another.

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1 You have valves that you close, and you have a bleed
2 or a drain line between them that you open. So that
3 if something comes from one area, it goes through the
4 drain line rather than going into the other vessel.
5 However, because of the service these were in, they
6 were plugged with solidified plastic and would not
7 close.

8 There were ram-type valves on the drain
9 line of each vessel. Steve went into some detail on
10 this. This was supposed to allow personnel to verify
11 that the vessel was depressured, but these were also
12 prone to polymer pluggage. There's no evidence that
13 these were actually used on the day of the incident.

14 The practice of removing material from the
15 vessels required that personnel had to manually remove
16 the bolts on the manway and then attach a cable to the
17 metal frame inside and actually use a forklift to
18 extract the apparatus. This practice presented an
19 occupational hazard to personnel in the area.

20 When personnel would remove the head, they
21 would hook a cable to the internals of the vessel, and
22 they would extract that from the vessel. This was
23 recognized as a personnel hazard. However, at the
24 time of the incident, no corrective action had been
25 made.

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1 I want to spend a little bit of time on
2 the procedures for the safe opening of process
3 equipment. We found that there was actually no
4 standard practice among the workforce for ensuring the
5 vessel was depressured prior to opening. A written
6 guideline did exist, but it could not be followed due
7 to the design issues that we previously noted. The
8 drain valves, the pressure gauge, and the isolation
9 valves could not be used for their intended purposes.

10 We also noted that the policy at the
11 Augusta site did not advise the workforce when to
12 suspend activities if problems occurred and safe
13 equipment opening procedures could not be met. In
14 this particular case, the procedures that could not be
15 met were that the personnel could not positively
16 verify that the vessel had been depressured. So,
17 therefore, to open it in the absence of that assurance
18 could and did lead to an incident.

19 We have previously discussed in some of
20 our investigations the necessity, when safety
21 requirements could not be met, to perhaps assemble a
22 team and do a hazard review. No such review took
23 place that we can tell this day.

24 I will now turn this back over to Lisa.
25 She is going to talk about the system for analyzing

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1 the incidents at the facility and also regarding
2 similar incidents. Thank you.

3 MS. LONG: Incidents and near-misses
4 provide opportunities to learn lessons and understand
5 hazards that weren't understood prior to the incidents
6 occurring, and it is common practice for companies to
7 have systems in place to investigate near-misses and
8 incidents.

9 BP Amoco did have a program in place to do
10 this. However, there were previous polymer reaction
11 incidents and near-misses that presented an
12 opportunity to recognize a reactive hazard, but they
13 weren't investigated to level that they understood
14 this hazard to be the cause.

15 For example, on the initial startup of the
16 Amodel unit, they had accumulated lots of masses of
17 polymer, waste polymer, that wasn't going to be used.

18 These were accumulated in large chunks that were
19 commonly referred to as pods. As you can imagine, on
20 initial startup a lot of this waste material was
21 accumulated and it was set out into the field.
22 Several hours after it was set out into the field, the
23 pods of polymer burst, and in some cases pieces of
24 polymer flew as high as 30 feet.

25 This incident was investigated and

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1 corrective action was taken. However, personnel
2 attributed this incident solely to stress cracking,
3 and they took action, including generating fewer of
4 these pods, and moving them further away, and this did
5 decrease the likelihood of this happening. So they
6 thought that they had done the right thing.

7 Evidence later, after this incident,
8 showed that the chemistry taking place inside these
9 pods was similar to the chemistry that took place
10 inside the polymer catch tank on the day of the
11 incident. So had they gone further with this initial
12 investigation, they may have discovered that there was
13 a reactive hazard there that could have presented
14 itself in other ways in the Amodel process.

15 Over the history of the Amodel process
16 there were also numerous fires at the extruder. These
17 were investigated; the causes of them were analyzed,
18 however, not within enough depth to understand the
19 source of the combustible material that was causing
20 the fires. In some cases the source of the
21 combustible material may have been decomposition that
22 was generating these combustible gases, but this was
23 not understood.

24 There were fires involving the catch tank
25 and the material that was removed from it. Similar to

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1 the fires in the extruder, the sources of combustible
2 material were not always understood, and, again, some
3 of the sources of these could have been combustible
4 materials generated as a result of decomposition.

5 Any one of these incidents alone,
6 particularly the fires, may have been hard to
7 understand. It may have been difficult to get to the
8 root cause, but if there was a system in place to
9 identify patterns and trends, one may have seen that
10 there was something in common and they may have helped
11 highlight the causes of some of these incidents and
12 provide a better understanding of the hazard that
13 existed. However, the BP Amoco didn't have a system
14 to identify patterns in incidents.

15 When we looked at this incident, we also
16 looked at other incidents that occurred even outside
17 of BP Amoco to see if we saw any similarities. The
18 Chemical Safety Board actually did an investigation of
19 an incident at Equilon in Anacortes, Washington. This
20 incident occurred in November 1998, and there were six
21 people killed.

22 Hot petroleum liquid was being collected
23 in a coke drum. Normally, this liquid was collected
24 in the coke drum, the drum it was filled, it was
25 cooled, and then opened and disposed of. While they

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1 were filling a drum a few days before the incident,
2 there was a power outage which interrupted the process
3 and prevented the drum from being filled completely.
4 It also prevented the normal cooling process from
5 taking place.

6 So a couple of days after this power
7 outage, operations personnel, thinking this drum was
8 cool, they attempted to open it. The situation here
9 was similar to what happened at BP Amoco. It was cool
10 on the outside, but inside it was very hot and there
11 was a slow endothermic reaction taking place which
12 generated some gases. When the operations personnel
13 opened this drum, hot vapors and liquid escaped and
14 ignited.

15 Again, some similarities between this and
16 the BP Amoco incident: Both involved opening
17 equipment where there were false or misunderstandings
18 of the temperature or the pressure inside the core of
19 the vessel. They both were examples of the slow
20 endothermic decomposition reaction that produced
21 gaseous byproducts, which we talked about before as
22 maybe less understood of the hazards. They both were
23 created by hazards of abnormal startup or shutdown,
24 and they both involved manual opening of hot
25 pressurized equipment.

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1 With that, I am going to turn it back to
2 Steve Selk, and he is going to review the root and
3 contributing causes.

4 MR. SELK: This is a restatement of what
5 we consider to be the important causes and
6 contributing causes, and then we will take your
7 questions.

8 First, Amoco, the developer of the Amodel
9 process, did not adequately review the process design
10 to identify chemical reaction hazards. Neither the
11 Research and Development Department nor the Process
12 Design Department had a systematic procedure
13 specifically for identifying and controlling hazards
14 from unintended or uncontrolled chemical reactions.

15 The technology for identifying these
16 things has improved greatly in the last two decades.
17 Sophisticated laboratory equipment is available that
18 helps identify possible reactions. Together with
19 specialized expertise, the hazards can be more readily
20 identified. Organizations engaged in this type of
21 commerce should focus on identifying these hazards
22 early in the design process.

23 The Augusta facility did not have an
24 adequate review process for correcting design
25 deficiencies. Workers were unable to follow

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1 established company policies for lockout/tagout and
2 equipment opening because the plug drains on the
3 polymer catch tank prevented them from verifying the
4 absence of pressure in the tank.

5 Recall that this was the first and only
6 commercial implementation of a new process. It is
7 predictable that a new process will have some design
8 flaws. We suggest that management have a system for
9 identifying and correcting those flaws promptly.
10 Without such a concerted effort, plant personnel may
11 take the approach that what they gave us has to be
12 made to work, and they will make the best of it.

13 Example: Previous occurrences of
14 overfilling and plastic entrainment in the connected
15 piping indicated that the vessel was too small, that
16 the level-indicating device was unreliable. On the
17 day of the incident, operators had no direct
18 indication of the level in the vessel.

19 The Augusta site system for investigating
20 previous incidents and near-miss incidents did not
21 adequately identify causes and hazards. This
22 information was needed to correct design and operating
23 deficiencies.

24 They did investigations, but the depth
25 wasn't adequate. Accurate scientific theories were

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1 not developed to explain the spontaneous ignition of
2 waste plastic that sometimes occurred or the
3 phenomenon whereby lumps of waste plastic would burst.

4 Incidents and near-misses tend to be
5 treated as isolated events. Management did not have a
6 system to detect trends and patterns among the
7 incidents. Taken together, lumps of plastic burst;
8 sometimes they spontaneously ignite. There are fires
9 at the extruder. If management was looking for
10 patterns, it might have been able to understand that a
11 chemical reaction was actually going on inside these
12 masses of plastic.

13 The polymer catch tank had been overfilled
14 and the vent lines plugged on other occasions.
15 Effective countermeasures were not developed.

16 Contributing causes: Hazard analysis of
17 Amodel process were inadequate and incomplete.
18 Reactivity hazards such as unintended reactions were
19 not examined in the design phase hazard analyses. The
20 extruder operation, and its overall impact on the rest
21 of the process, was not adequately reviewed during
22 formal hazard analysis. Credible scenarios by which
23 the polymer catch tank could become overfilled were
24 not identified.

25 Documentation did not adequately describe

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1 the process. The operating principles behind the
2 polymer catch tank weren't particularly well-described
3 in the process safety information. That led to
4 misunderstandings. The maximum fill level was not
5 clearly specified. Warnings were not provided about
6 the consequences of overfilling. Operations
7 management did not update the documentation to reflect
8 changes to procedures and practices.

9 Equipment opening procedures did not
10 specify what actions to take when safety precautions
11 could not be followed. On the day of the incident,
12 and frequently during the life of the process, it was
13 not possible to verify the absence of pressure inside
14 the tank because the solid polymer plugged the drain
15 valves. Had a policy been in place to stop work in
16 such circumstances, the design of this vessel may have
17 been reviewed in 1993, right after the process started
18 up.

19 Revisions to operating procedures were not
20 subject to management of change reviews to evaluate
21 safety effects. This is not uncommon in industry.
22 Flow was originally directed to the polymer catch tank
23 for 30 minutes during startup. The time was later
24 extended to 50 minutes, which increased the amount of
25 material that had to be disposed of in the vessel.

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1 Your questions we shall entertain.

2 DR. ROSENTHAL: You didn't say, "I'm
3 finished."

4 (Laughter.)

5 MR. SELK: Well, I don't think we are. We
6 have your questions.

7 DR. ROSENTHAL: Very excellent
8 presentation. I think all the members of the team
9 ought to feel very pleased with themselves. I mean, I
10 don't know what the audience thought, but I thought it
11 was good.

12 MS. TAYLOR: The audience is impressed.

13 MR. SELK: I have in New York for the last
14 two weeks. So I make that excuse.

15 (Laughter.)

16 CHAIRPERSON POJE: Any questions?

17 DR. ROSENTHAL: Yes, I have some
18 questions.

19 It may have been mentioned previously, but
20 what was the temperature of the extruder? Do we know
21 what --

22 MR. SELK: I think someone else can give
23 it to you. It's in the order of 650 degrees
24 Fahrenheit. We have someone who can tell us precisely
25 in the audience, Art.

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1 ART FROM AUDIENCE: At what time?

2 DR. ROSENTHAL: As the extruder was
3 running, normal operations.

4 ART FROM AUDIENCE: Six fifty.

5 DR. ROSENTHAL: Six fifty?

6 MR. SELK: The Respondent was the
7 Operations Manager for the Amodel unit at the time of
8 the incident.

9 DR. ROSENTHAL: So 650 degrees, and you
10 mentioned that there is an onset of decomposition when
11 the polymer is held what, around 350 or something?

12 MR. SELK: Three thirty is my
13 recollection, but Amodel decomposes anytime it is in
14 the molten state. It's the rate of decomposition --

15 DR. ROSENTHAL: At what temperature does
16 it melt?

17 MR. SELK: About 600 degrees Fahrenheit.

18 DR. ROSENTHAL: Okay, but decomposition
19 starts -- that's why I asked you -- at 330 or so there
20 is an onset of decomposition?

21 MR. SELK: That is my memory.

22 DR. ROSENTHAL: Okay.

23 MR. SELK: More rapid decomposition.

24 DR. ROSENTHAL: So certainly it takes
25 place in the molten state?

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1 MR. SELK: Yes.

2 DR. ROSENTHAL: Okay.

3 MR. SELK: Well, it begins slowly, as soon
4 as the material becomes molten, and accelerates with
5 the temperature.

6 DR. ROSENTHAL: All right.

7 MS. TAYLOR: Can I ask a question?

8 DR. ROSENTHAL: Go ahead.

9 MS. TAYLOR: Okay.

10 DR. ROSENTHAL: I will come back.

11 MS. TAYLOR: Okay. Go back to your
12 diagram again of the extruder and the catch tank,
13 because I had a question exactly, what gets to the
14 extruder? I wanted to go back to that. You mentioned
15 that there were numerous fires and that there were
16 collections of material in the extruder. Exactly what
17 is that in the extruder?

18 MR. SELK: The extruder is like a big
19 pump. It is akin to a meat grinder. It has two
20 counter-rotating screws typically. There are kneading
21 blocks on the screws and conveying parts to the
22 screws. The polymer is squeezed, sheared, and heated
23 inside the extruder while it is pumped and pushed
24 through a die, so that it can be stranded, made into
25 strands.

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1 So things don't accumulate there, but the
2 extruder is vented. On occasions there were fires at
3 the extruder that could be attributed to decomposition
4 of the plastic.

5 MS. TAYLOR: Okay.

6 MR. SELK: And other fires of a more
7 mechanical nature that, as we learned, could
8 contribute to its not starting.

9 MS. TAYLOR: I see.

10 MR. SELK: We believe that air entered the
11 extruder and burned plastic that was left inside and
12 created that ash.

13 MS. TAYLOR: Okay. One of your other
14 questions, in removing the polymer in the past,
15 employees were removing the polymers from the catch
16 tank how often?

17 MR. SELK: Oh, every couple of months.

18 MS. TAYLOR: Okay, and you mentioned that
19 there had been an indication of buildup of the polymer
20 in the vents that they recognized or --

21 MR. SELK: There had been previous
22 incidents where so much polymer had been sent to the
23 vessel that the vent lines became plugged.

24 MS. TAYLOR: And what was the reaction or
25 what was the response?

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1 MR. SELK: Well, I think our observation
2 is that it wasn't considered a hazard. They thought
3 of it as benign, solid plastic that was more an
4 inconvenience to be dealt with than a hazard.

5 MS. TAYLOR: Okay.

6 MR. SELK: And that is why we've focused
7 on the No. 1 root cause, to look for the possibility
8 of reactions.

9 CHAIRPERSON POJE: Steve, can you give us
10 some characterization of the -- we are familiar as a
11 Board, because of the events at the Morton incident
12 with an exothermic reaction synthesis of a chemical as
13 well as the exothermic reaction of the degradation of
14 the product itself.

15 But in this instance you are dealing with
16 an endothermic reaction. It is that a relatively
17 infrequent event to be at the basis of a reactive
18 incident?

19 MR. SELK: I think so. Most of us
20 recognize that reactions that release energy are
21 hazardous. This one actually absorbed energy, but it
22 converted energy as well to pressure. So while when
23 those reactions were occurring, it was actually
24 cooling down the mass of plastic in the vessel, still
25 600 degrees in there, approximately, gases are being

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1 formed and they exert pressure. So we have an energy
2 conversion, and it is insidious.

3 CHAIRPERSON POJE: And also what would be
4 the failure to really document a vessel's role during
5 important operations like startup, shutdown, or an
6 unanticipated -- but the world doesn't work perfectly,
7 so you have to expect some interruption because of a
8 mishap.

9 MR. SELK: Well, let me field your
10 question this way: I have worked in the industry for
11 more than 25 years before coming here, and I have
12 designed many processes. It is not unusual that
13 documentation of how things work or how they were
14 attended to work gets short shrift in the design
15 process. The documentation they had I think is
16 consistent with 1980s era technology, but we have to
17 do better.

18 CHAIRPERSON POJE: Let me ask one more
19 question in that domain. There was a PHA done first
20 in 1990 during the design phase of the operation, and
21 then a second one done in 1999, nine years later. Is
22 that a common frequency rate for redoing a PHA?

23 MR. SELK: Well, I think the frequency
24 could be greater. In processes that are regulated as
25 highly hazardous the minimum time is five years. So

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1 one of the things that the investigation team
2 considered along the way is, given that it was a new
3 process and had never before been commercialized by
4 this operator anyway, that they ought to have gone
5 back a couple of years later and looked at, how's it
6 going; how's it working out?

7 But the organization went through many
8 structural changes. This particular plant seems to
9 have been orphaned from the parent corporation due to
10 substantial organizational change.

11 DR. ROSENTHAL: Why don't we come back
12 again, Steve -- I think the comments made that regard
13 exothermic reactions as being less serious, we pay
14 less attention to them in the industry, in general,
15 does this not represent perhaps a weakness in general
16 industry guidance? I mean, on the sun Amodel would be
17 a very unstable material, as would almost everything
18 else.

19 What I am trying to say, in environments
20 where heat is abundant, exothermic reactions are
21 spontaneous. When we think of the normal exothermic
22 reaction, it is the absence of energy. So has
23 industry guidance sufficiently alerted us of this type
24 of occurrence in this type of operation?

25 You mentioned Equilon. I would guess,

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1 without looking at it, there must be lots of extruder
2 incidents in which extruders go down, material is
3 stuck in there, and the thing pops. Comment.

4 MR. SELK: I don't think that guidance is
5 very good in the area of endothermic reactions. One
6 thing that speaks to that is that we are fairly
7 confident that no one in their organization had any
8 conception that such a thing could even happen. After
9 it happened, we all had to convince each other and
10 ourselves that this is what occurred. So it is
11 anything but obvious. It is one of those insidious
12 hazards that requires further education.

13 DR. ROSENTHAL: You say, "insidious."
14 Okay, I would say insidious only until you know it.

15 MR. SELK: Right.

16 DR. ROSENTHAL: It is no longer insidious
17 to you now, right?

18 MR. SELK: Not to me, what about the rest
19 of the people in this business? And to communicate it
20 and get people aware of it reduces that --

21 MS. TAYLOR: I have one question. Well, I
22 don't know if this is the appropriate place to ask
23 this, but this is a very good report and it is also
24 very technical. So the question that I would ask is,
25 now we have to communicate this to the workforce who

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1 would actually be looking at what happens here. So
2 what do we tell the workers about this process that
3 will keep this from happening again?

4 MR. SELK: Well, Andrea, I would suggest
5 that perhaps you might want to, after the meeting, ask
6 others here, because we have covered a lot of
7 technical ground. The information that I covered
8 early on, I would suspect is not the most interesting
9 information. How we can better communicate hazards
10 and the need to identify those hazards in a briefer
11 format is an area that I think requires work on our
12 part.

13 We have published shorter documents,
14 safety bulletins, case studies. Perhaps you could ask
15 others. I am so close to it, I know it so well, that
16 I don't have a feel for it, but I don't believe many
17 people will read the whole report, no. It is lengthy
18 and complicated.

19 MS. TAYLOR: Well, one of the concerns
20 that I have is that we did have three deaths. So for
21 workers in the plant, we do have to communicate what
22 happened, yes, and how can you, as the employee who
23 will try to open this cap, not knowing the endothermic
24 reaction is happening on the inside, how can you know
25 what to do the next time that that can happen?

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1 MR. SELK: Well, perhaps we can focus on
2 publishing some overview documents that contain the
3 information in a brief enough package that people will
4 read it.

5 DR. ROSENTHAL: Or to emphasize that if
6 lockout/tagout doesn't work, don't do it.

7 MR. SELK: We have had a great challenge
8 with the issue of lockout/tagout. There is a
9 principle in this business that you don't open
10 something that you haven't positively verified. That
11 was a major design defect that should have been
12 corrected, but in our hearts the investigators have
13 concluded that a reasonable person armed with the
14 knowledge they had about the nature of this material
15 would have the next day gone out and opened this
16 vessel anyway, because they thought of it as just
17 containing a big block of ice.

18 But to speak to that, we don't want to
19 build process equipment that is not verifiable. Early
20 on, that defect should have been corrected. Don't
21 build things that get plugged up.

22 CHAIRPERSON POJE: Steve, I want to just
23 explore one other generalized area that you raised
24 quite high through this investigation. It is one that
25 sages like Dr. Rosenthal and Trevor Kletz have called

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1 to our attention. We don't learn the lessons of what
2 has happened in the past, and we have a tendency,
3 then, to repeat them or to allow a major catastrophic
4 to occur.

5 You have looked at the incident and near-
6 miss investigation profile for this facility. How
7 common are near-miss investigation programs in
8 facilities like the nylon-producing facilities or
9 other plastics-producing facilities?

10 Also, the team emphasized that there was
11 no system to identify the pattern of incidents. What
12 would be your collective professional wisdom as a team
13 about the expectations for such a system?

14 MR. SELK: Well, we can't fault people for
15 missing a scientific concept in a single
16 investigation. That would just be so unfair. But one
17 way that you can avoid missing things is to
18 collectively look at the incidents, gather them
19 together every twelve months or every six months, and
20 say, what has happened out here? Purposefully look
21 for patterns, because then that might trigger in your
22 mind that you haven't fully understood all the
23 phenomenon. That is one of our key messages, Jerry.
24 It is not easy to do, but if you don't try -- okay?

25 CHAIRPERSON POJE: Any other questions?

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1 MS. TAYLOR: No, not for now.

2 CHAIRPERSON POJE: Okay. Well, thank you.

3 DR. ROSENTHAL: Thanks again to the team.

4 You did an excellent job.

5 CHAIRPERSON POJE: Okay, the next portion
6 of our agenda then is a brief update from Chris, as
7 the Chief Operating Officer. Anything you want to add
8 to your earlier comments?

9 MR. WARNER: As I stated before, we're
10 moving very aggressively to implement all of the IG
11 recommendations. We have sent to the IG today a final
12 plan for finishing all the recommendations. We have
13 implemented a good majority of them already, and we
14 have plans implemented on finishing that task on time.

15 In that regard, I do have the final Board
16 order and a final rule, pending your vote on that
17 order.

18 DR. ROSENTHAL: We've finally succeeded in
19 getting our proposed regulation on the Sunshine Act
20 into The Federal Register. It issued on April 16th.
21 I would like to propose a notation item in regard to
22 adopting this notation item with regard to the
23 Sunshine Act. So I will so propose to the other
24 members that we execute the notation Item 183 in
25 regard to the adoption of disciplinary action.

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1 MS. TAYLOR: And I second that motion.

2 CHAIRPERSON POJE: Okay, having been so
3 called for and seconded, and let me just restate for
4 the Board what we are acting on here. A motion has
5 been forwarded to adopt notation Item 183, which would
6 provide for approval and Federal Register publication
7 of a final rule establishing CSB regulations for
8 implementing the Government-in-the-Sunshine Act, and
9 also affirming that the Board's intent is to be bound
10 by the provisions of the final rule, even while the
11 date is pending for that to become finally effective.

12 So, Chris, do you have the items?

13 Is there any comment or discussion that
14 the other Board members would want to have on this
15 matter?

16 DR. ROSENTHAL: No, I think it is a very
17 desirable move. It is something we have attempted to
18 do in the past, but due to our state of less than
19 optimal organization and overload, have not always
20 been able to do, and I am looking forward to a much
21 more regular pattern of meetings, open votings, and
22 discussions in the future.

23 CHAIRPERSON POJE: Andrea?

24 MS. TAYLOR: No.

25 CHAIRPERSON POJE: Okay, so then, having

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1 heard no additional discussion, if you could please
2 execute your action on this matter, and I will pass it
3 to Chris to be counted, and then I will record the
4 vote.

5 DR. ROSENTHAL: Can he count that high?

6 (Laughter.)

7 CHAIRPERSON POJE: He's got an assistant
8 with a calculator.

9 (Laughter.)

10 DR. ROSENTHAL: Do we have someone to
11 supervise the counting of our vote?

12 CHAIRPERSON POJE: Let our General
13 Counsel. Chris, take off your hat and put on the
14 General Counsel hat and make sure we're legally
15 binding here.

16 (Laughter.)

17 Okay, so the motion has been carried,
18 three affirmative votes. This now means that we are
19 in compliance with the Sunshine Act. We will proceed
20 with publication of a final regulation. The
21 anticipated schedule for this will be that, as of this
22 afternoon, the Office of General Counsel will transmit
23 this to the Office of Federal Register. It likely
24 will be published in The Federal Register by next
25 week, and we anticipate by a month's hence this will

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1 become a finally effective date for our final rule in
2 compliance with the Government-in-the-Sunshine Act.

3 Okay, other business that we have before
4 us today is just to identify the next public Board
5 meetings. May 30th will be our next public meeting.
6 It will not be in Washington, D.C. It will be in
7 Paterson, New Jersey. This is to allow us to have a
8 public hearing on the larger issue of reactive
9 chemical management and its role in the persistence of
10 catastrophic incidents. That will occur in Paterson,
11 New Jersey. Any member of the public who wants to is
12 hereby notified of that. It is available for anybody
13 here today out on the table.

14 We also have anticipated a tentative date
15 for our next meeting here, and that will be on June
16 4th. The focus of that meeting will primarily be on
17 the Board's recommendations program, although I am
18 urging Chris at this moment in time to work with Bill
19 Hoyle and his staff to see whether we might also have
20 an update on at least one of the more recent field
21 investigations, just to introduce that subject to the
22 Board as a whole.

23 (Whereupon, at 11:35 a.m., the Public
24 Meeting was concluded.)

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